Sterne Kessler Goldstein Fox

ATTORNEYS AT LAW

Robert Greene Sterne	Judith U. Kim	Thomas C. Fiala	**Registered Patent Agents**	**Senior Counsel**
Edward J. Kessler	Timothy J. Shea, Jr.	Brian J. Del Buono	Karen R. Markowicz	Samuel L. Fox
Jorge A. Goldstein	Patrick E. Garrett	Virgil Lee Beaston*	Andrea J. Kamage	Kenneth C. Bass III
David K.S. Cornwell	Jeffery T. Helvey*	Reginald D. Lucas*	Nancy J. Leith	Lisa A. Dunner
Robert W. Esmond	Heidi L. Kraus	Kimberly N. Reddick	Joseph M. Conrad III	
Tracy-Gene G. Durkin	Crystal D. Sayles	Theodore A. Wood	Ann E. Summerfield	
Michele A. Cimbala	Edward W. Yee	Elizabeth J. Haanes	Helene C. Carlson	
Michael B. Ray	Albert L. Ferro*	Bruce E. Chalker	Gaby L. Longsworth	
Robert E. Sokohl	Donald R. Banowit	Joseph S. Ostroff	Matthew J. Dowd	
Eric K. Steffe	Peter A. Jackman	Frank R. Cottingham*	Aaron L. Schwartz	
Michael Q. Lee	Molly A. McCall	Christine M. Lhulier	Angelique G. Uy	
Steven R. Ludwig	Teresa U. Medler	Rae Lynn Prengaman*	Boris A. Matvenko	
John M. Covert	Jeffrey S. Weaver	Jane Shershenovich*	Mary B. Tung	*Admitted only in Maryland
Linda E. Alcorn	Kendrick P. Patterson	Lawrence J. Carroll*	Katrina Y. Pei	+Admitted only in Virginia
Robert C. Millonig	Vincent L. Capuano	George S. Bardmesser	Bryan L. Skelton	*Admitted only in Texas
Lawrence B. Bugaisky	Albert J. Fasulo II*		Jason D. Eisenberg	*Practice Limited to
Donald J. Featherstone	Eldora Ellison Floyd		John J. Figueroa	Federal Agencies
Michael V. Messinger	W. Russell Swindell			

July 11, 2002

WRITER'S DIRECT NUMBER:
(202) 371-2560
INTERNET ADDRESS:
RESMOND@SKGF.COM

TECH CENTER 1600/2900

RECEIVED JUL 17 2002

Art Unit No: 1624

Commissioner for Patents
Washington, D.C. 20231

Re: U.S. Patent Application
        Appl. No. 09/769,420; Filed: January 26, 2001
        For: **Substituted Nicotinamides and Analogs as Activators of Caspases and Inducers of Apoptosis and the Use Thereof**
        Inventor: CAI *et al.*
        Our Ref: 1735.0440001/RWE/CJW

Sir:

Transmitted herewith for appropriate action are the following documents:

1. Fee Transmittal Form (PTO/SB/17);
2. Petition for Extension of Time Under 37 C.F.R. § 1.136(a)(1);
3. Amendment and Reply Under 37 C.F.R. § 1.111;
4. Second Supplemental Information Disclosure Statement;
5. Form PTO-1449 (citing 2 documents - 1 page);
6. Two (2) cited documents (AL4 and AR12);
7. Return postcard; and
8. Our Check No. **35824** for **$ 640.00** to cover:
    $460.00 For extension of time fees under 37 C.F.R. § 1.136; and
    $180.00 For submission of Second Supplemental Information Disclosure Statement.

It is respectfully requested that the attached postcard be stamped with the date of filing of the document, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.
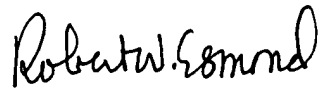
The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036. A duplicate copy of this letter is enclosed.
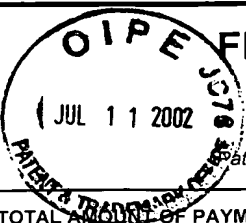
Respectfully submitted,
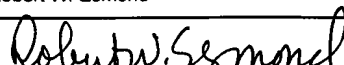
STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

Robert W. Esmond
Attorney for Applicants
Registration No. 32,893

Enclosures

SKGF_DC1:32919.1

FEE TRANSMITTAL
for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT ($)640.00

Complete if Known	
Application Number	09/769,420
Filing Date	January 26, 2001
First Named Inventor	Sui Xiong CAI
Examiner Name	McKenzie, Thomas C.
Group Art Unit	1624
Attorney Docket No.	1735.0440001

Stamp: OIPE JUL 11 2002

RECEIVED TECH CENTER 1600/2900 JUL 11 2002

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:

Deposit Account Number: 19-0036

Deposit Account Name: Sterne, Kessler, Goldstein & Fox P.L.L.C.

☒ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

☐ Applicant claims small entity status See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☒ Other*

*Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 19-0036.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code	Fee ($)	Small Entity Fee Code	Fee ($)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) ($) 0.00

2. EXTRA CLAIM FEES

	Extra	Fee from below	Fee Paid
Total Claims 47 - 113** = 0	X		= 0.00
Indep. Claims 5 - 7** = 0	X		= 0.00
Multiple Dependent			= 0.00

Large Entity Fee Code	Fee ($)	Small Entity Fee Code	Fee ($)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim
108	84	209	42	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) ($) 0.00

** or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code	Fee ($)	Small Entity Fee Code	Fee ($)	Fee Description	
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for *ex parte* reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	460.00
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	130	123	130	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	180.00
581	40	481	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify):

Other fee (specify):

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) ($) 640.00

SUBMITTED BY

		Complete (*if applicable*)		
Name (*Print/Type*)	Robert W. Esmond	Registration No. (*Attorney/Agent*)	32,893	Telephone 202-371-2600
Signature	*[signature]*		Date	July 11, 2002